

Guarantee Cancellation User Guide

# **Oracle Banking Trade Finance Process Management**

Release 14.6.0.0.0

**Part No. F57088-01**

May 2022

Oracle Banking Trade Finance Process Management - Guarantee Cancellation User Guide  
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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

## Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of Trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

## Guarantee Cancellation

Guarantee Cancellation enables the user to register request for Guarantee/SBLC Cancellation received from the Applicant.

If the request is received by mail/Courier, the user should be able to update the request.

This section contains the following topics

- Registration
- Data Enrichment Stage
- Multilevel Authorisation

[Registration](#)

[Document Linkage](#)

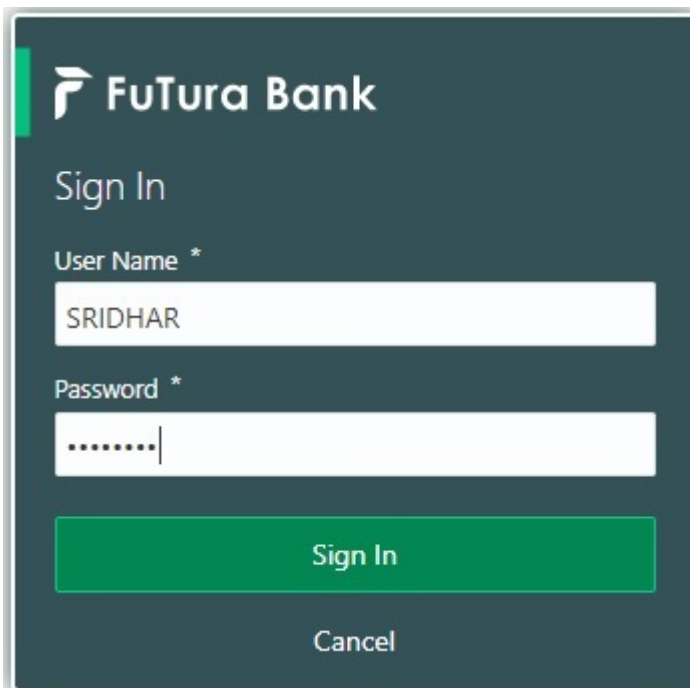
[Multi Level Authorization](#)

### Registration

The first stage of Guarantee Cancellation process starts from the Registration Stage. During Registration stage, user captures the basic details as well as undertaking details of the cancellation application. On submit of the request, the customer will be notified with an acknowledgment letter.

The user has the option to submit, hold, save and hold and cancel the application

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.
3. Click **Trade Finance > Bank Guarantee Issuance > Guarantee Cancellation**.

Oracle Dashboard

Menu Item Search...

- Core Maintenance
- Dashboard
- Maintenance
- Security Management
- Tasks
- Trade Finance
  - Administration
  - Bank Guarantee Advice
  - Bank Guarantee Issuan...
  - Guarantee - SBLC Issua...
  - Guarantee Amendment**
  - Enquiry
  - Event Logs
  - Export - Documentary ...

SLA Status Summary

No data to display

Pending Exception Approval (0)

- Amount Block Exception (0)
- Limit Earmark Exception (0)
- KYC Checks Exception (0)
- Sanction Checks Exception (0)

The Registration stage has two sections Application Details and SBLC/ Guarantee Details. Let's look at the details of Registration screens below:

Guarantee Cancellation

Documents Remarks Customer Instruction Common Group Messages

Application Details

SBLC/Guarantee Number: PK2GUR21125A1XS

Branch: PK2-Oracle Banking Trade Finan...

Cancellation Date: May 5, 2021

Beneficiary Consent Required: ☒

Received From Applicant Bank:

Priority: Medium

Amendment Number: 1

Received From - Customer ID: 000325

Submission Mode: Desk

Customer Reference Number:

Received From - Customer Name: NATIONAL FREIGHT CORP

Process Reference Number: PK2GTEC000007160

Related Reference:

SBLC/Guarantee Details

22D - Form of Undertaking: GUIR

Amount In Local Currency: GBP £1,000.00

23X - Narrative:

40C - Applicable Rules: URDG - Uniform rules for dema...

Advising Bank:

Counter SBLC/Guarantee Issuing Bank:

39D - Additional Amounts:

Product Code: GUIR

23B - Expiry Type:

40C - Narrative:

Advising Bank Reference:

Counter Guarantee Issuing Bank Reference:

Product Description: Guarantee Issuance - Reissuance upon r

22A - Purpose of Message:

Date of Expiry: Aug 3, 2021

Applicant: 000325 NATIONAL FREI

Advise Through Bank:

Local SBLC/Guarantee Issuing Bank:

32B - Undertaking Amount: GBP £1,000.00

23X - File Identification:

35G -Expiry Condition/ Event:

Beneficiary: 000326 PHIL HAMPTON


Advise Through Bank Reference:

Local Guarantee Issuing Bank Reference:

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Application Details		

Field	Description	Sample Values
SBLC Guarantee Number	The user can input the Undertaking Number of the Guarantee to be canceled.  Alternatively, user can search the undertaking number using LOV.	
Received From Applicant Bank	Read only field.  System will default the name of the customer as available in Guarantee.	Toggle off
Received From - Customer ID	Read only field.  Customer ID will be auto-populated from Guarantee /SBLC Issuance.	001345
Received From - Customer Name	Read only field.  Applicant Name will be auto-populated from Guarantee /SBLC Issuance.	
Branch	Read only field.  Branch Name will be auto-populated from Guarantee details.   <b>Note</b> Once the request is submitted, Branch field is non-editable.	
Priority	System will default the Priority as Low/Medium/. High based on maintenance.  If no priority is maintained, system defaults the priority as Medium.	High
Submission Mode	Submission mode of Guarantee.  Cancellation request. By default the submission mode will have the value as 'Desk'.  <b>Desk-</b> Request received through Desk <b>Email -</b> Request received through Email <b>Courier-</b> Request received through Courier	Desk
Process Reference Number	Unique sequence reference number for the transaction.  This is auto generated by the system.	203GTEISS000 001134
Cancellation Date	By default, the application will display branch's current date. User can change the date to back date or future date.	

Field	Description	Sample Values
Amendment Number	Read only field. Amendment number will be auto-populated based on the system maintenance. Amendment number increases by 1 for each amendment.	
Customer Reference Number	User can enter the 'Reference number' provided by the applicant/applicant bank. Enables the user to provide a unique Customer Reference Number for the cancellation.	
Related Reference	Related reference number will be auto-populated based on the system maintenance	
Beneficiary Consent Required	<b>Toggle on:</b> Beneficiary consent required for cancellation. <b>Toggle off:</b> Switch off the toggle if beneficiary consent is not required for cancellation.	
SBLC/ Guarantee Details		
Form of Undertaking	Read only field. Form of Undertaking defaults from Guarantee.	
Product Code	Read only field. This field displays the product code defaulted from Guarantee.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Undertaking Amount	System defaults the outstanding value available in Guarantee.	
Amount In Local Currency	System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
Type of Undertaking	Read only field. Type of Undertaking defaults from Guarantee.	
Purpose of Message	Read only field. Purpose of message defaults from Guarantee.	
File Identification	Read Only Field. System will default the value available in Guarantee.	
Narrative	Read Only Field. System defaults the value available in Guarantee.	



Field	Description	Sample Values
Expiry Type	Select the expiry type. By default the system displays the expiry date as maintained in Issuance.	
Date of Expiry	Provide the expiry date of the Guarantee.	
Expiry Condition/ Event	Read only field.	
Applicable Rules	Read only field. This field displays the rules of the Guarantee.	
Narrative	System defaults the value available in Guarantee.	
Applicant	Read only field. This system defaults the value available in Guarantee.	
Beneficiary Name	Read only field. This field displays the beneficiary details of the selected Guarantee and user can amend if required.	
Advising Bank	Read only field. This field displays the details of the advising bank.	
Advising Bank Reference	Read only field. This field displays advising bank reference if available.	
Advice Through Bank	Read only field. System defaults the value available in Guarantee.	
Advising Through Bank Reference	Read only field. This field displays advising bank reference if available.	
Counter SBLC/Guarantee Issuing Bank	Read only field. System defaults the value available in Guarantee.	
Counter Guarantee Issuing Bank Reference	Read only field. System defaults the value available in Guarantee.	
Local SBLC/Guarantee Issuing Bank	Read only field. System defaults the value available in Guarantee.	
Local Guarantee Issuing Bank Reference	Read only field. System defaults the value available in Guarantee.	
Additional Amounts	Additional Amount Covered as per the latest LC details is displayed.	

## Documents and Checklist: Documents:

**Non- Online:** The user has to upload all the mandatory documents required by the system to proceed for the guarantee cancellation application. If mandatory documents are not uploaded, system should display an error on submit.

The possible documents submitted under an Guarantee/SBLC Cancellation request are:

Guarantee/SBLC Cancellation Request

**Checklist:** Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.

**Verify Signature:** System will display the details of Authorized signatories. The pop up box will display the signature id, signature title and image of the signature for verification.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Documents	The user can upload the documents.
Remarks	The user can provide any additional information regarding the Guarantee cancellation. This information can be viewed by the users in other stages of the process.
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"><li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li><li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li></ul>
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.
Cancel	Cancels the Guarantee cancellation Registration stage inputs and system should clear the details captured in the screen. The task will get deleted.
Save and Close	Save the information provided and displays the task in you queue for working later.  This option will not submit the request

Field	Description
Submit	<p>Task will get moved to next logical stage of Guarantee Cancellation.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>

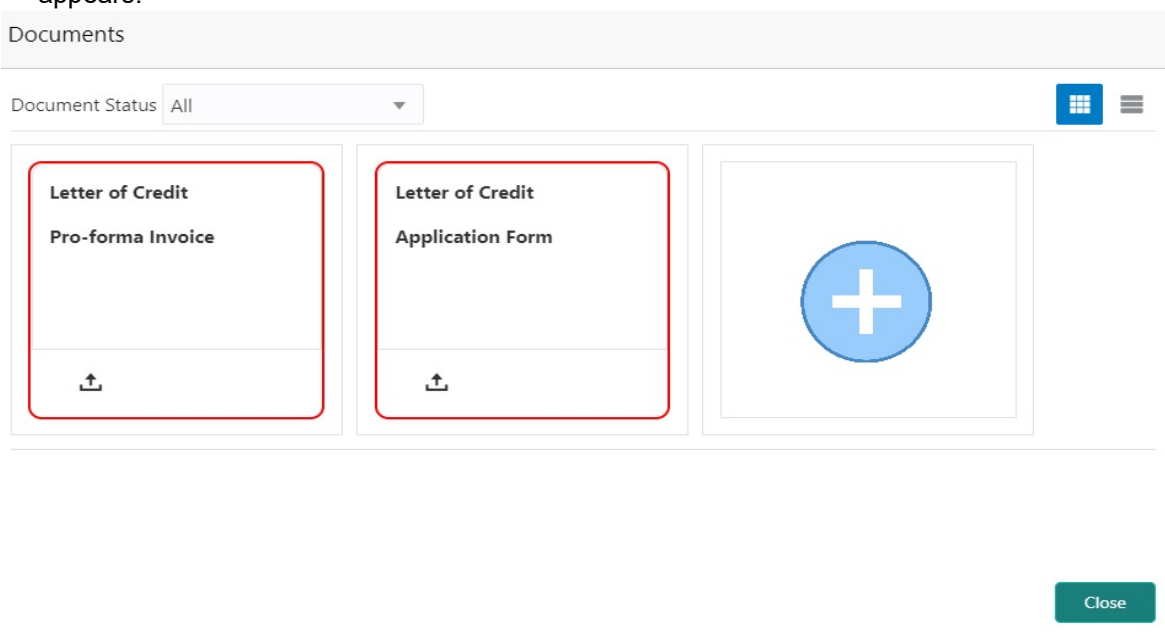
## Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.
2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type \*

Letter of Credit ▼

Document Code \*

Insurance Policy ▼

Document Title \*

Document Description

Remarks

Document Expiry Date

Drop files here or click to select

Link Document

Selected files: []

Upload

Link

Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

- Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

The screenshot shows a 'Documents' interface. At the top, there's a 'Document Status' dropdown set to 'All'. Below this, there are three main sections. The first two are 'Letter of Credit' and 'Pro-forma Invoice', each with an 'Application Form' and an upload icon. The third section shows a file named 'wqwq.png' with a close button, creation details ('Created - 2022-06-28 By - PERI01'), and icons for search, edit, and download. A 'Close' button is at the bottom right.

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document screen appears.

The screenshot shows the 'Edit Document' screen. It has two columns of input fields. The left column includes 'Document Id' (2400), 'Application Reference Number' (PK2ILCI000019041), 'Document Type Id' (TFPM\_DOCTYPE001), and 'Remarks'. The right column includes 'Document Title' (wqwq), 'Entity Reference Number' (PK2ILCI000019041), 'Document Description', 'Document Expiry Date' (Jun 29, 2022), and a file upload area with the text 'Drop files here or click to select'. At the bottom right, there are 'Update' and 'Cancel' buttons.

## Data Enrichment

As part of Data Enrichment, user can register and update the Guarantee Cancellation request received from the Issuing Bank. If the request is received by mail/Courier, the user should be able to update the request. In case the message is received by SWIFT, then the cancellation task needs to be auto created and available for the user to handle.



For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.

The image shows a login screen for FuTura Bank. It has a dark blue background with a green vertical bar on the left. The text 'FuTura Bank' is at the top. Below it is 'Sign In'. There are two input fields: 'User Name \*' with the text 'SRIDHAR' and 'Password \*' with masked characters. At the bottom are two buttons: 'Sign In' (green) and 'Cancel' (white).

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click **Trade Finance> Tasks> Free Tasks**.

The image shows the Oracle OBTFPM application interface. The top header includes the Oracle logo, a search bar, and user information: 'FLEXCUBE UNIVERSAL BANK...', 'Mar 22, 2019', and 'JEEVA02 subham@gmail.com'. The left sidebar contains a menu with 'Free Tasks' highlighted. The main area displays a table of tasks.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input type="checkbox"/> Acquire & E...	M	Guarantee Cancellation	PK2GTEC000035781	PK2GTEC000035781	DataEnrichment	20-09-09	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	000ILCI000035776	000ILCI000035776	Scrutiny	20-09-09	PK2	000270
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000035773	PK2ILCI000035773	Scrutiny	20-09-09	PK2	001043
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	000ILCI000035772	000ILCI000035772	Scrutiny	20-09-09	PK2	000270
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000035765	PK2ILCI000035765	Scrutiny	20-09-09	PK2	001043
<input type="checkbox"/> Acquire & E...	M	ExportLC Amendment B...	PK2ELCA000035759	PK2ELCA000035759	Handoff RetryTask	20-09-08	PK2	001043
<input type="checkbox"/> Acquire & E...	M	Export Documentary Co...	PK2EDCR000035747	PK2EDCR000035747	Handoff RetryTask	20-09-08	PK2	001044
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000035748	PK2ILCI000035748	Scrutiny	20-09-08	PK2	001043
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000035745	PK2ILCI000035745	Scrutiny	20-09-08	PK2	001043
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000035744	PK2ILCI000035744	Scrutiny	20-09-08	PK2	001043
<input type="checkbox"/> Acquire & E...	M	ImportDocumentaryCol...	PK2IDCL000035740	PK2IDCL000035740	Approval Task Level 1	20-09-08	PK2	001044
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000035741	PK2ILCI000035741	Scrutiny	20-09-08	PK2	001043
<input type="checkbox"/> Acquire & E...	M	Export Documentary Co...	PK2EDCR000035736	PK2EDCR000035736	DataEnrichment	20-09-08	PK2	001044
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000035733	PK2ILCI000035733	Limit Exceeded Exception App...	20-09-08	PK2	001043

Page 1 of 71 (1 - 20 of 1417 items) K < 1 2 3 4 5 ... 71 > X

4. Select the appropriate cancellation task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Acquire & E...	M	Guarantee Cancellation	PK2GTEC000035781	PK2GTEC000035781	DataEnrichment	20-09-09	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	000ILCI000035776	000ILCI000035776	Scrutiny	20-09-09	PK2	000270
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000035773	PK2ILCI000035773	Scrutiny	20-09-09	PK2	001043
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	000ILCI000035772	000ILCI000035772	Scrutiny	20-09-09	PK2	000270
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000035765	PK2ILCI000035765	Scrutiny	20-09-09	PK2	001043
<input type="checkbox"/> Acquire & E...	M	Export LC Amendment B...	PK2ELCA000035759	PK2ELCA000035759	Handoff RetryTask	20-09-08	PK2	001043
<input type="checkbox"/> Acquire & E...	M	Export Documentary Co...	PK2EDCR000035747	PK2EDCR000035747	Handoff RetryTask	20-09-08	PK2	001044
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000035748	PK2ILCI000035748	Scrutiny	20-09-08	PK2	001043
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000035745	PK2ILCI000035745	Scrutiny	20-09-08	PK2	001043
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000035744	PK2ILCI000035744	Scrutiny	20-09-08	PK2	001043
<input type="checkbox"/> Acquire & E...	M	Import DocumentaryCol...	PK2IDCL000035740	PK2IDCL000035740	Approval Task Level 1	20-09-08	PK2	001044
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000035741	PK2ILCI000035741	Scrutiny	20-09-08	PK2	001043
<input type="checkbox"/> Acquire & E...	M	Export Documentary Co...	PK2EDCR000035736	PK2EDCR000035736	DataEnrichment	20-09-08	PK2	001044

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Edit	M	Guarantee Cancellation	PK2GTEC000035781	PK2GTEC000035781	DataEnrichment	20-09-09	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee Cancellation	PK2GTEC000035770	PK2GTEC000035770	Registration	20-09-09	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee Cancellation	PK2GTEC000035769	PK2GTEC000035769	DataEnrichment	20-09-09	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee Cancellation	PK2GTEC000035767	PK2GTEC000035767	DataEnrichment	20-09-09	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee Cancellation	PK2GTEC000035766	PK2GTEC000035766	DataEnrichment	20-09-09	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee Cancellation	PK2GTEC000035764	PK2GTEC000035764	DataEnrichment	20-09-09	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee Cancellation	PK2GTEC000035763	PK2GTEC000035763	Registration	20-09-08	PK2	001044
<input type="checkbox"/> Edit	M	Import LC Amendment	PK2ILCA000035761	PK2ILCA000035761	Scrutiny	20-09-08	PK2	000149
<input type="checkbox"/> Edit	M	Import LC Amendment	PK2ILCA000035760	PK2ILCA000035760	Scrutiny	20-09-08	PK2	000149
<input type="checkbox"/> Edit	M	Export LC Advise	PK2ELCA000035757	PK2ELCA000035757	Scrutiny	20-09-08	PK2	001043
<input type="checkbox"/> Edit	M	Import LC Liquidation	PK2ILCL000035718	PK2ILCL000035718	Liquidation	20-09-07	PK2	001044
<input type="checkbox"/> Edit	M	Import LC Amendment	PK2ILCA000035672	PK2ILCA000035672	Registration	20-09-07	PK2	001044
<input type="checkbox"/> Edit	M	Import LC Amendment	PK2ILCA000035668	PK2ILCA000035668	Registration	20-09-07	PK2	001044

The Guarantee Cancellation - Data Enrichment stage has three sections as follows:

- Main Details
- Acknowledgment Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Guarantee Cancellation - Data Enrichment stage.



User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

## Main Details

Main details section has three sub section as follows:

- Application Details
- SBLC/ Guarantee Details

## Application Details

Refer to Registration for more information of the fields.

Guarantee Cancellation - DataEnrichment :: Application No: PK2GTEC000007160

Screen (1 / 7)

<b>Main</b> Acknowledgement Details Additional Fields Advices Additional Details Settlement Details Summary	<b>Main</b> <b>Application Details</b> SBLC/Guarantee Number PK2GUIR21125A1XS Branch PK2-Oracle Banking Trade Finan... Cancellation Date May 5, 2021 Beneficiary Consent Required <input checked="" type="checkbox"/>	Received From Applicant Bank <input type="text"/> Priority Medium Amendment Number 1	Received From - Customer ID 000325 Submission Mode Desk Customer Reference Number <input type="text"/>	Received From - Customer Name NATIONAL FREIGHT CORP Process Reference Number PK2GTEC000007160 Related Reference <input type="text"/>
	<b>SBLC/Guarantee Details</b> 22D - Form of Undertaking <input type="text"/> Amount In Local Currency GBP £1,000.00 23X - Narrative <input type="text"/> 40C - Applicable Rules URDG - Uniform rules for dema... Advising Bank <input type="text"/>	Product Code GUIR 23B - Expiry Type <input type="text"/> 40C - Narrative <input type="text"/> Advising Bank Reference <input type="text"/>	Product Description Guarantee Issuance Reissuance upon r 22A - Purpose of Message <input type="text"/> Date of Expiry Aug 3, 2021 Applicant 000325 NATIONAL FREIGHT CORP Advise Through Bank <input type="text"/>	32B - Undertaking Amount GBP £1,000.00 23X - File Identification <input type="text"/> 35G - Expiry Condition/ Event <input type="text"/> Beneficiary 000326 PHIL HAMPTON Advise Through Bank Reference <input type="text"/>

Audit Reject Refer Hold Cancel Save & Close Back Next

## SBLC/ Guarantee Details

The fields listed under this section are same as the fields listed under the SBLC Guarantee Details section in Registration. During Registration, if user has not captured input, then user can capture the details in this section.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>
Overrides	<p>Click to view overrides, if any.</p>
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system and the task may get terminated or moved to Reject Approval Stage.</p>

Field	Description
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	User will save the information provided and close the details captured.  This option will not submit the request.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

## Acknowledgement Details

At this stage user can update details for the acknowledgment and response details. This Acknowledgment related section is applicable only for Counter Issuing bank and Local issuing bank.

Oracle My Tasks

Guarantee Cancellation - DataEnrichment :: Application No: PK2GTEC00035781

Oracle FLEXCUBE UNIVERSAL BANK Mar 22, 2019 JEEVA02 subham@gmail.com

Main Acknowledgement Details Additional Fields Advices Additional Details Summary

Acknowledgement Details

72Z Sender to Receiver Information

72Z Sender to Receiver Information

MT768 - Acknowledgement Details

Applicable in case of Counter Guarantee/Counter Guarantee Issuing Bank.

25 - Account Identification Date of Message Ack 32A - Amount of Charges 57A - Account with Bank

71D - Details of Charges

Audit Reject Refer Hold Cancel Save & Close Back Next

Main Details saved successfully

Field	Description	Sample Values
Acknowledgment Details (This is applicable in case of Counter Guarantee/Counter Counter Guarantee Issuing Bank)		
Account Identification	Provide the values for account identification.	
Date of Message Ack	Read Only. System defaults the current system date as date of message acknowledgment.	
Amount of Charges	Provide the values for the amount of charges.	
Account with Bank	User can enter the account with bank details.	
Details of Charges	Provide the details of charges if applicable.	
Sender to Receiver Information	Provide sender to receiver details if applicable.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. The reject codes are: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others. The user would be able to select a Reject code and give a Reject Description.</li> </ul> Other users should be able to see the reject reason in remarks window throughout the process.

Field	Description
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	User will save the information provided and close the details captured.  This option will not submit the request.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.
Back	On click <b>Back</b> , user navigates to previous step.

## Additional Fields

This step system defaults the Additional details based on the Additional fields maintained in the system.

The screenshot displays the Oracle Flexcube Universal Banking application interface. The top header shows the Oracle logo, 'Free Tasks', and user information (JEEVA02, subham@gmail.com, Mar 22, 2019). The main content area is titled 'Guarantee Cancellation - DataEnrichment :: Application No: PK2GTEC000035764'. The left sidebar contains a navigation menu with options: Main, Acknowledgement Details, Additional Fields (selected), Advices, Additional Details, and Summary. The main content area shows the 'Additional Fields' step with a message: 'No Additional fields configured!'. At the bottom, there is a navigation bar with buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, and Next. A green success message 'Guarantee details saved successfully' is displayed in the top right corner.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>
Overrides	<p>Click to view overrides, if any.</p>
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>The reject codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others. The user would be able to select a Reject code and give a Reject Description.</li> </ul> <p>Other users should be able to see the reject reason in remarks window throughout the process.</p>
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>
Hold	<p>The details provided will be registered and status will be on hold.</p>
Cancel	<p>Cancels the details captured in the screen. The task will get deleted.</p>
Save and Close	<p>User will save the information provided and close the details captured.</p> <p>This option will not submit the request.</p>
Back	<p>On click <b>Back</b>, user navigates to previous step.</p>

Field	Description
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

## Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level.

The screenshot displays the Oracle Flexcube Universal Banking interface. The top navigation bar includes the Oracle logo, 'Free Tasks', and user information (JEEVA02, subham@gmail.com, Mar 22, 2019). The main header shows 'Guarantee Cancellation - DataEnrichment :: Application No: PK2GTEA000034780' and action buttons: Documents, Remarks, Overrides, and View Undertaking. A left sidebar contains a menu with 'Main', 'Acknowledgement Details', 'Additional Fields', 'Advices' (selected), and 'Summary'. The 'Advices' section is titled 'Advices' and shows five advice cards:





- Advice : GUA\_INSTR**  
Advice Name : **GUA\_INSTR**  
Advice Party : **ABK**  
Party Name : **WELLS FARGO LA**  
Suppress : **NO**  
Advice
- Advice : GUA\_RELEASE\_ADV**  
Advice Name : **GUA\_RELEASE\_ADV**  
Advice Party : **APP**  
Party Name : **GOODCARE PLC**  
Suppress : **NO**  
Advice
- Advice : ANCILLARY\_MESG**  
Advice Name : **ANCILLARY\_MESG**  
Advice Party :  
Party Name :  
Suppress : **YES**  
Advice
- Advice : GUAR\_RELEASE**  
Advice Name : **GUAR\_RELEASE**  
Advice Party :  
Party Name :  
Suppress : **YES**  
Advice
- Advice : PAYMENT\_MESSAGE**  
Advice Name : **PAYMENT\_MESSAGE**  
Advice Party :  
Party Name :  
Suppress : **NO**  
Advice

At the bottom, there is an 'Audit' button and a row of action buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, and Next. The screen number 'Screen ( 7 / 9 )' is displayed in the top right corner.

The user can also suppress the Advice, if required.





Field	Description	Sample Values
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.

Field	Description
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>The reject codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others. The user would be able to select a Reject code and give a Reject Description.</li> </ul> <p>Other users should be able to see the reject reason in remarks window throughout the process.</p>
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	<p>User will save the information provided and close the details captured.</p> <p>This option will not submit the request.</p>
Back	On click <b>Back</b> , user navigates to previous step.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

## Additional Details

In the Additional details section, the user can verify/input/update the additional details data segment of the Guarantee/SBLC Cancellation request.

Guarantee cancellation may have impact on the Charges & Commission section.

Oracle Financials - My Tasks

Guarantee Cancellation - DataEnrichment :: Application No: PK2GTEC000035781

Additional Details

Limit & Collateral

Limit Currency	:	
Limit Contribution	:	
Limit Check Status	:	
Collateral Currency	:	GBP
Collateral Contribution	:	7635.5
Collateral Check Status	:	Not Verified

Charge Details

Charge	:	
Commission	:	
Tax	:	
Block Status	:	

Audit

Reject Refer Hold Cancel Save & Close Back Next

## Limit and Collateral

The limits and collateral details are displayed as tile. The tiles displays a list of important fields with values.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.



### Note

For Guarantee Advising MT 760, user can input the values, applicable if Advising bank confirms undertaking.

Limit & Collateral

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
<input type="checkbox"/>	001044		100	GBP	£12,000.00			001044	

Cash Collateral Details

Collateral Percentage \*

78.0

Collateral Currency and amount

GBP

£9,360.00

Exchange Rate

1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response Message	Edit	Delete
1	USD	PK20010440019		56	£5,241.60		VN		1	

Save & Close

Close

Limit Details

Customer Id

001044

Contribution % \*

100.0

Contribution Currency

GBP

Limit Currency

GBP

Limit Check Response

Available

Expiry Date

24-Dec-2020

Verify

Line ID \*

001044\_GB

Limits Description

Contribution Amount \*

£9,000.00

Limit Available Amount

£9,99,999.00

Response Message

The Earmark can be performed as the f

Save & Close

Close

Limit Details

Customer Id

001044

Contribution % \*

100.0

Contribution Currency

GBP

Limit Currency

GBP

Limit Check Response

Available

Expiry Date

24-Dec-2020

Verify

Line ID \*

001044\_GB

Limits Description

Contribution Amount \*

£9,000.00

Limit Available Amount

£9,99,999.00

Response Message

The Earmark can be performed as the f

Save & Close

Close

Provide the Limit Details based on the description in the following table:


Field	Description	Sample Values
<div>Plus Icon</div> <div></div>	Click plus icon to add new Limit Details.	

#### Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
-------------	--	--

Field	Description	Sample Values
Line ID	<p>User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <div>  <p><b>Note</b></p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p> </div>	
Contribution%	<p>System will default this to 100% and user can modify. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Contribution%	<p>System will default this to 100% and user can modify. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Limits Description	Description of limit.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Contribution Amount	User can enter the contribution amount to be utilized under the selected limit.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	

Field	Description	Sample Values
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Provide the collateral details based on the description provided in the following table:

Collateral Details

Total Collateral Amount \*  
\$67.00

Sequence Number  
2.0

Collateral Contribution Amount \*  
\$67.00

Settlement Account Currency  
GBP

Contribution Amount in Account Currency  
£0.00

Response  
VS

Verify

Collateral Amount to be Collected \*  
\$0.00

Collateral Split % \*  
100.0

Settlement Account \*  
PK1000327018

Exchange Rate  
1.3

Account Available Amount  
£99,999,393,343.91

Response Message  
The amount block can be performed as:

Save & Close
Cancel


Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.  The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.  System populates the response on clicking the <b>Verify</b> button.	
Response Message	Detailed Response message.  System populates the response on clicking the <b>Verify</b> button.	



Field	Description	Sample Values
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	
Below fields appear in the <b>Cash Collateral Details</b> grid along with the above fields.		
Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Contribution Amount	<p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified."</p>	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

### Commission, Charges and Taxes Details

After Advices, click on Next button and on landing the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be

defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission,Charges and Taxes

Recalculate

Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 >									

Charge Details

Component

Tag currency

Tag Amount

Currency

Amount

Modified

Billing

Defer

Waive

Charge Party

Settlement Account

No data to display.										
Page 1 (0 of 0 items) < 1 >										

Tax Details

Component

Type

Value Date

Currency

Amount

Billing

Defer

Settlement Account

--	--	--	--	--	--	--	--

Save & Close

Cancel

## Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.  This field is disabled, if 'Defer' toggle is enabled.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission.  Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.  This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

## Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same is updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTfPM.  The user can not select/de-select the check box if it is de-selected by default.  This field is disabled, if 'Defer' toggle is enabled.	

Field	Description	Sample Values
Defer	Charges can not be deferred further. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary.	
Settlement Account	Details of the settlement account.	

## Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. Tax details are defaulted from the back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If tax are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Settlement Account	Details of the settlement account.	

## Preview

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

The Preview section consists of following.

### Preview – SWIFT and Advise

Based on the guarantee cancellation captured in the previous screen, the preview message simulated from the back office and the user can view the message.

Preview Messages

Preview - SWIFT Message

Language: English

Message Type: Select

Preview Advice

Preview - Mail Advice

Language: English

Advice Type: Select

Preview Advice

Save & Close Close

Field	Description	Sample Values
Preview SWIFT Message		
Currency	The tax currency is the same as the commission.	
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Advice	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not	
Following fields will have values on receipt of customer response.		
Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system	
Customer Remarks	Remarks from the customer for the draft	
Response Date	Customer Response received date.	

Field	Description	Sample Values
Default Email list	Default email address of the customer.	
Add Recipients	Enables to add more recipients for the customer response.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  The reject codes are: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others. The user would be able to select a Reject code and give a Reject Description.</li> </ul> Other users should be able to see the reject reason in remarks window throughout the process.
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.

Field	Description
Save and Close	User will save the information provided and close the details captured.  This option will not submit the request.
Back	On click <b>Back</b> , user navigates to previous step.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

## Settlement Details

Guarantee Cancellation - DataEnrichment :: Application No: PK2GTEC000062477

Screen ( 6 / 7 )

Main  
Acknowledgement Details  
Additional Fields  
Advices  
Settlement Details  
Summary

Settlement Details  
☐ Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AGUIR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		N
AGUIR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		N
AVL_SET_LCAMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		N
AVL_SET_LCAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP		N
CLAIM_SETTLE_AMT	GBP	Credit	PK20037630047	CITIBANK IRELAND	GBP		N
COLLAMT_OSEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		N
COLLAMNDAMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		N
COLLAMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP		N
COLL_AVALAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP		N
COLL_REFUND	GBP	Credit	PK20010440017	GOODCARE PLC	GBP		N

AGUIR\_COM1\_LIQD - Party Details

Transfer Type:

Charge Details:

Netting Indicator:

Ordering Customer:

Ordering Institution:

Senders Correspondent:

Receivers Correspondent:

Account With Institution:

Beneficiary Institution:

Ultimate Beneficiary:

Intermediary Institution:

Intermediary Reimbursement Institution:

Payment Details

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

## Action Buttons



Use action buttons based on the description in the following table: **DE - Summary**

Field	Description
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>
Overrides	<p>Click to view overrides, if any.</p>
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>The reject codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others. The user would be able to select a Reject code and give a Reject Description.</li> </ul> <p>Other users should be able to see the reject reason in remarks window throughout the process.</p>
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>
Hold	<p>The details provided will be registered and status will be on hold.</p>
Cancel	<p>Cancels the details captured in the screen. The task will get deleted.</p>
Save and Close	<p>User will save the information provided and close the details captured.</p> <p>This option will not submit the request.</p>
Back	<p>On click <b>Back</b>, user navigates to previous step.</p>

Field	Description
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

## Summary

User can review the summary screen of Guarantee/SBLC Cancellation request.

Log in to Oracle Banking Trade Finance Process Management (OBTfPM) system to see the Summary tiles. The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

The screenshot shows the Oracle Banking Trade Finance Process Management (OBTfPM) system interface. The top navigation bar includes the Oracle logo, 'My Tasks', and user information (JEEVA02, subham@gmail.com). The main header displays 'Guarantee Cancellation - DataEnrichment :: Application No: PK2GTEC00035767'. The left sidebar contains a menu with options: Main, Acknowledgement Details, Additional Fields, Advices, and Summary (selected). The main content area is titled 'Summary' and displays eight tiles arranged in two rows. Each tile has a title bar and a list of fields with their values. The tiles are: Main (SBLC/Guarantee Type: PGDO, Submission Mode: Desk, Date Of Issue: 2019-03-22), Guarantee Details (FFT Code 1, FFT Code 2), Additional Fields (Click here to view Additional fields), Limits and Collaterals (Limit Currency, Limit Contribution, Limit Status: Not Verified, Collateral Currency, Collateral Contr., Collateral Status: Not Verified), Commission, Charges and taxes (Charge, Commission, Tax, Block Status: Not Initia), Preview Messages (Language: ENG, Preview Message: -), Compliance (KYC: Not Initia, Sanctions: Not Initia, AML: Not Initia), and Party Details (Advising Bank: WELLS FARG, Applicant: GOODCARE PLC, Beneficiary: MARKS AND). The bottom of the screen features a navigation bar with buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, and Submit. The screen number 'Screen ( 6 / 6)' is displayed in the top right corner.

### Tiles Displayed in Summary

- Main Details - User can view the details about application details and Guarantee/Standby.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Guarantee Details - User can view the Guarantee Details
- Additional Details - User can view the User Defined Field details.
- Additional Details - User can view the comprehensive fields with the previous value and new value.
- Commission, Charges, Taxes - User can view the charge details.
- Settlement Details - User can view the settlement details. Additional Fields - User can view the UDF maintained.
- Advices - User can view the advices details.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others. The user would be able to select a Reject code and give a Reject Description</li> </ul> Other users should be able to see the reject reason in remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.  Refer Codes. <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Scrutiny Stage Inputs.	
Save & Close	Save the information provided and holds the task in you queue for working later.  This option will not submit the request	

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Guarantee Issuance.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

## Multi Level Authorization

The Approval user can approve a Guarantee Cancellation request.

As an approver user, log in into OBTFPM application the Guarantee/SBLC Cancellation task should be available in the Free Task. The user can acquire the task.



### Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

## Re-Key Authorization

If rekey authorization set up is available, then on clicking Acquire, the task will land on the rekey authorization screen otherwise the task will land on the summary screen.

The user can view the details of multilevel approval stage of Guarantee Cancellation request in the Summary screen.

×

Applicant

001345

✓

Beneficiary

001344

✓

Expiry Date

08/17/18

✓

Proceed

Cancel

Click Next to view the Summary

### Tiles Displayed in Summary:

- Main Details - User can view the details about application details and LC details.
- Party Details - User can view the party details like beneficiary, advising bank etc., if required.
- Guarantee Details - User can view the Guarantee Details
- Additional Details - User can view the User Defined Field details.
- Commission, Charges and Taxes - User can view the charge details.
- Additional Fields - User can view the UDF maintained.
- Advices - User can view the advices details.

### Documents and Checklist: Documents:

The approver user can view the uploaded documents and verify the same.

**Checklist:** The approver user can verify the uploaded documents.

**Remarks:** The approver user can view the remarks captured during various stages.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others. The user would be able to select a Reject code and give a Reject Description</li></ul> <p>Other users should be able to see the reject reason in remarks window throughout the process.</p>
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes.</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul>

Field	Description
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>
Cancel	<p>Cancels the Guarantee cancellation Registration stage inputs and system should clear the details captured in the screen. The task will get deleted.</p>
Save and Close	<p>Save the information provided and displays the task in you queue for working later.</p> <p>This option will not submit the request</p>
Back	<p>On click <b>Back</b>, user navigates to previous step.</p>
Submit	<p>Task will get moved to next logical stage of Guarantee Cancellation.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>

## Approval Summary Screen

ORACLE

My Tasks

PK2

Mar 22, 2019

JEEV

subham@gmail

Guarantee Cancellation - Approval Task Level 1 :: Application No: PK2GTEA000035280

Documents

Remarks

Overrides

View Undertaking

Main Details

SBL/Guarantee Type :  
Submission Mode :  
Date Of Issue :

Guarantee Details

FFT Code 1 :  
FFT Code 2 :

Limits and Collaterals

LimitCurrency :  
LimitContribution :  
LimitStatus : **Not Verified**  
CollateralCurrency :  
CollateralContribution :  
CollateralStatus : **Not Verified**

Commission, Charges and taxes

Charge :  
Commission :  
Tax :  
Block Status : **Not Initia**

Additional Fields

Click here to view :  
Additional fields

Party Details

Advising Bank : **WELLS FARG**  
Applicant : **GOODCARE PLC**  
Beneficiary : **MARKS AND**  
BlockStatus : **Not Initia**

Advices

Advice1 : **GUA\_IN**  
Advice2 : **GUA\_RELEASE**  
Advice3 : **ANCILLARY**  
Advice4 : **GUAR\_RELEASE**  
Advice5 : **PAYMENT\_ME**

Compliance

KYC : **Not Initia**  
Sanctions : **Verified**  
AML : **Verified**

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### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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